Candidate detectors for space-qualified time-resolved photon counting Dr. Michael A. Krainak

> NASA Goddard Space Flight Center Laser & Electro-Optics Branch Code 554

> > April 23, 2012





AGENDA

I. NASA LASER INSTRUMENTS

II. DETECTOR REQUIREMENTS

III. CANDIDATE DETECTORS

IV. SUMMARY





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NASA Laser Instruments Methodologies



Space laser instrument techniques:

- 1. Time of flight with waveform processing for cm scale altimetry and atmospheric lidar
- 2. Tunable, multiwavelength laser spectroscopy
- 3. Narrow linewidth lasers for coherent (interferometric) nanometer scale laser ranging
- 4. Laser communications pulse position modulation and error correcting codes with multiple bits per symbol with photon counting
- 5. Doppler shift for wind (not discussed in this presentation)

NASA Laser Instruments Planned Missions with Photon Counting¹



NASA Mission	Photon Counting Detector
Lunar Laser Communication Demonstration (LLCD) on LADEE (2013)	GROUND-based: Super Conducting Nanowire Detector Array, Hybrid PMT
Laser Communication Relay Demonstration (LCRD) (2016)	GROUND-based: Super Conducting Nanowire Detector Array, Hybrid PMT
Ice, Cloud and Land Elevation Satellite (ICESat-2) Advanced Topographic Laser Altimeter System (ATLAS) (2016)	Hamamatsu PMT
Active Sensing of CO ₂ Emissions over Nights, Days, and Seasons (ASCENDS) (2022)	HgCdTe APD, Hamamatsu PMT
The Aerosols-Clouds-Ecosystem (ACE) (2025)	Silicon APD
LIdar Surface Topography (LIST) (2028)	HgCdTe APD, Silicon APD
3D-Winds (Demo) (2030)	Silicon APD



LADEE

Lunar Laser Communication Demonstration (LLCD)



LLCD on Lunar Atmospheric Dust Environment Explorer (LADEE)-Built by MIT-LL

Orbiter-to-Earth Laser Communications

- 622 Mbps downlink (O-E)
- 16 Mbps uplink (E-O)
- Sub-cm ranging

400,000 km



Terrestrial Photon-Counting Array Rx



Orbital terminal

- Inertial-stabilization
- Fully-gimbaled
- Low size, weight, & power design

2013 Launch - Wallops Island, VA



D. Boroson, "Overview and status of the Lunar Laser Communications Demonstration," Paper 8246-11 of Conference 8246 Date: Wednesday, 25 January 2012, Time: 3:30 PM = 3:50 PM



ICESat2/ATLAS Instrument Overview



Multi-beam Micropulse Ground Track and Footprint Laser Altimeter 6.61 mR Single laser beam split into 6 beams (3.3km) 10 m ground footprints ۲ Weak (1) Weak (1) Weak (1) 10 kHz rep. rate laser (~1mJ) • 5 mR Multiple detector pixels per spot ٠ (2.5 km) On-board boresight alignment system • Laser Reference System gives absolute • Strong (4) Strong (4) Strong (4) laser pointing knowledge Track Direction Radiators LRS **Beam Steering** S/C provided Mechanism trackers and SIRU **Diffractive Optic** Element **Optical Filter** Beam Expander Assembly (BE) (OFA) Redundant Banks of 6 Telescope Detectors Alignment Monitor Composite - STATE **Box Structure** 80 cm Redundant Telescope Lasers



Laser Communication Relay Demonstration (LCRD)



NASA-Goddard, JPL and MIT-LL team. Dave Israel (NASA-GSFC) - PI

- GEO-Ground downlinks at 622 Mbps using Pulse Position Modulation (PPM) and 1.25 Gbps using Differential Phase Shift Keying (DPSK)
- Ground-GEO uplinks at 10 Mbps (PPM) and 1.25 Gbps (DPSK)





Space Flight Terminal



Ground Terminal (Concept)



Trace Gas Measurement for Earth & Planetary Sciences



- Generation of high spatial resolution maps of trace gas
 - Mars & earth applications
 - Laser-based measurement from orbits
 - Replacing low-resolution passive spectrometers
- Target trace gases
 - Earth
 - CO_2 , CH_4 , etc. as greenhouse gases
 - Absorptions at NIR wavelengths
 - Mars
 - CH₄, H₂O, etc. as life indicators
 - Absorptions at MIR wavelengths
- Differential Absorption Lidar (DIAL)
 - Receiver measures energy of the laser echoes from surface
 - On-line and off-line measurements
 - Continuous global coverage
 - No need for sunlight
- OPA-based transmitter
 - 1064nm pulsed pump
 - Tunable seed
 - NIR/MIR outputs
 - Simpler than OPO
 - Alternative to NIR fiber amp







NASA's ASCENDS Mission Scheduled Launch - late 2019



Why lasers ?

- Measures at night & all times of day
- \cdot Constant nadir/zenith path
 - \cdot Illumination = observation path
 - Continuous "glint" measurements
 over oceans
- \cdot Measurements at high latitudes
- \cdot Small measurement footprint
- $\boldsymbol{\cdot}$ Measure through broken clouds
- Measure to cloud tops
- Very high spectral resolution & accuracy

Ascends Science Definition Working Group is now conducting OSSE studies to refine measurement requirements

This approach -



There are several lidar approaches for CO2 column:

Broadband laser - 1570 nm band - λ tuned receiver

- 1 line 2 um band pulsed direct detection
- 1 line 2 um band CW heterodyne detection
- 1 line 1570 nm band synchronous direct detection
- 1 line 1570 nm band pulsed direct detection



- Complete mapping of the entire Earth in 3 years with 5-m spatial resolution
 - 5 km Swath with 1000 parallel profiling lines (or channels)
- Detecting ground echoes through tree canopies (2% opening) under clear sky conditions (~70% one way transmission)
- Resource Goals: < 10 kW peak electrical power (or 10 W per channel) and <700 kg mass







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Need detector with high sensitivity Key issue is achieving low-noise gain

	Absorber at λ (1 micron)	Signal	Electron Gain <u>mechanism</u>	Signal
Received Signal photons (1, 10's, 100's)	InGaAs InAIAs InGaAsP	electrons (1, 10's, 100's)	Transistor amplifier (too noisy) Electron multiplier plate (dynode) in vacuum - PMT (low noise)	electrons (~1k's to 1 M)
	InAlAsP "Thick"		Electron bombardment in vacuum - IPD (~noiseless)	
	Silicon Multipass Silicon		Electron multiplication in semiconductor "impact ionization" – APD (low noise to noiseless!)	13



NASA-GSFC Single-Photon Counting Detectors NASA Goals



Photon counting wavelength range	(Separate detectors) 0.3 - 4.0 µm
Detection efficiency:	> 10%
Detector size:	> 200 µm diameter (n/a to array)
1-D and 2-D arrays	•
Dark counts:	< 100 kcps
Maximum Count Rate:	> 100 Mcps
Electrical bandwidth:	> 500 MHz
Linearity:	> 98% fit
Timing jitter:	< 200 ps
Afterpulsing	< 1% in 1 µs
Resolves photon number:	Highly desirable
Operating temperature:	prefer thermo-electric cooler range
Space-qualifiable:	rugged, reliable, overlight protection







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- 1. Photomultipliers
- 2. Avalanche Photodiodes
- 3. Superconducting Nanowires (not discussed)





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Photon-counting Detector Technology Photomultipliers – Dynode: CALIOP PMT







On-orbit on CALIOP/CALIPSO lidar (2006 launch) for use at 532 nm Had been used on the Space Shuttle LITE lidar (1994).

EMR Photoelectric (Princeton, NJ). Acquired by Schlumberger (no longer selling PMTs)

Model EMR 541E-01-13 Trialakali Photocathode 15% quantum efficiency at 532 nm 50 MHz bandwidth Used in analog mode (full waveform capture) Excess noise factor = 1.2 NEP = 0.11 fW/rt-Hz (equivalent to 2 x 10^6 dark counts per second for Least Significant Bit) Receiver uses 14-bit digitizer







1 GHz bandwidth, 15% QE @532 nm

Figure 6: Typical Time Response



20400



ScienceDirect



Nuclear Instruments and Molends in Physics Research A 398 (2008) 225-238

Romands A. 204. (2000) 225-228 www.charrier.com

The time-of-flight system of the PAMELA experiment: In-flight performances

R. Carbone^{a,b,a}, G. Barbarino^{a,b}, D. Campana^b, G. De Rosa^{a,b}, W. Mens⁴, G. Osteria^b, S. Rosso^{a,b}, M. Simon⁴

> ¹Paparonee of Please, Disease of Apple, Napel, Judy VIEE, Kooske of Apple, Naple, Auly "Department of Please, Departments of Signer, Naple, Generative Acadebie online 11 Jonary 2000.

Photo-multipher tubes

The light produced by the scintillators is viewed by mod. R5900 [3] PMTs, manufactured by Hamamatou Photonics. The R5900 is a metal package headon PMT, with a square section of $30\times30~{\rm mm^3}$. This PMT suits very well our needs, for its limited size, weight (25.5 g) and power consumption. Although not specifically designed for space-borne applications, it has undergone several environmental tests by NASA and it has been already successfully employed in a space-borne experiment. The R5900 PMTs for the PAMELA ToF are selected with a Quantum Efficiency QE > 21%.

The R5900 is relatively tolerant of magnetic fields and although the core of the PAMELA apparatus is a permanent magnet, the PMTs used only a 1 mm thick μ -metal screen.

Redundant 900 V HV supplies are connected to each PMT through a regulator circuit capable of 800 V swing. This is used to trim the individual PMT gains and to compensate for differential aging of the PMTs and scintillators. Voltage is distributed within each PMT by a resistive voltage divider designed to accommodate the largest particle rates to be measured.

Scintillator application: Flux rate is very low compared to lidar



Requirement to detect the weakest signal drives the lidar design (courtesy of J.Abshire)



A sample ICESat/GLAS Echo Waveform from tree(s)



Echo pulse waveform (backscattered laser power vs time)

Echo pulse from Tree canopy

Echo pulse from ground under trees Energy proportional to canopy opening faction For LIST, the opening fraction is ~2%

• GLAS acquires waveforms from vegetated terrain in a ~ 70 m diameter laser footprint

• Waveforms show height distribution of backscattered light reflected from canopy surfaces and underlying ground

- \Rightarrow Energy in ground echo is ~2% of that from tree tops
- Is weakest signal the altimeter receiver has to detect
 - Energy scales with sub-canopy surface reflectivity
 - Must reliably detect signal in presence of noise
 - (detected solar background rate)
 - Need at least several detected photons/pixel



ICESat2/ATLAS detector Hamamatsu R-7900-16M



- Successfully used on PAMELA ESA space mission
- Qty: 680 will fly on Alpha Magnetic Spectrometer ESA space mission.
- Quantum Efficiency >15%
- 350 ps timing jitter
- 1 GHz BW
- High reliability
- Negligible radiation damage



Figure 6: Typical Time Response





Dynode Near-Infrared PMT Excess Noise Factor



Control Setup Measure Analyze Utilities Requisition is stopped. 4.00 GSa/s File <u>H</u>elp 12:56 PM ΠÐ 2 On 3 On 1 On 🛈 🖓 20 mV/div 🏻 🏯 4 Л **∢** 0 ► 0 🖸 🖸 🎒 H 2.00 ns/div 700 ps T 5.8 m More (1 of 2) Histogram Scales 70.000 hits/div 6.0467_mV Clear All μ±1σ 68.6% μ±2σ 96.6% μ±3σ 99.4%

The excess noise factor may be obtained from pulse amplitude fluctuation under single photon detection, as,

$$F \equiv \frac{\left\langle g^2 \right\rangle}{\left\langle g \right\rangle^2} = 1 + \frac{\sigma_g^2}{\left\langle g \right\rangle^2} = 1 + \frac{\sigma_{ampl}^2}{\mu_{ampl}^2} \approx 1.2$$



The excess noise factor is still too large to resolve the number of photons in the pulse (the error bars too large and overlaps for up to 16 detected photons, or 94 incident photons)

PMT output pulse waveform of single detected photon, 600V (gain ~3e5)

Uniform array of spots for spatial photon number resolution







Uniform intensity spots Generated with two 5 x 5 microlens arrays

From: "Array generation with lenslet arrays" N. Streibl et al. Applied Optics Vol 30. No. 19 July 1, 1991



Spatial photon number PMT Test Results





Measured scaled histogram of the pulse height distribution and Poisson theory for (a) λ =1.3 b) λ =2.5 c) λ =5.0



Dynode Near-Infrared PMT Jitter and Afterpulsing





No measurable afterpulsing !



350 ps FWHM timing jitter



Photon-counting Detector Technology Photomultipliers – Micro Channel Plate





Very low timing jitter (<100 ps)

> 1 GHz bandwidth

35% QE @532 nm

Multi-anode (100 pixels) version available Model **R4110U**



Photon-counting Detector Technology Photomultipliers – Hybrid - Visible





8.3

1.00 TIME (p4)



Photon-counting Detector Technology Photomultipliers – Dynode: Near infrared PMT



- Hamamatsu NIR-PMT 10330-75, s/n BB0085 near infrared photomultiplier tube. "Champion" device.
- 18% quantum efficiency: 0.9 to 1.55 um wavelength.





Photon-counting Detector Technology Photomultipliers – Hybrid - Infrared



- Transfer electron (TE) photocathode
 - InGaAsP for 1000-1300 nm
 - InGaAs for 1000-1600 nm (higher dark count rates)
 - PMTs with similar photocathode have been commercially available and the performance has been improving.
- GaAs Schottky APD anode for low timing jitter and wide electrical bandwidth (~1GHz).
- HPMTs with TE photocathodes >25% QE at 1064 nm

>15% QE at 1550 nm



InGaAsP HPMT from Intevac Inc.



Photon-counting Detector Technology Photomultipliers – Hybrid - Infrared







Single-photon timing jitter per pixel measurement





Results for IPD with thinner (0.8 µm) InGaAsP layer thickness.

FWHM = 188 ps.

Dashed line is Gaussian fit with σ = 78 ps.



Measured scaled histogram of the pulse height distribution and Poisson theory for (a) λ =1.6 and b) λ =3.3









- Quantum efficiency > 20% (vendor data) at 1550 nm.
- Dark counts reduced by reducing area to 167 mm x 167 mm AND cooling to -30 C. (predict < 100 kcps)
- Single photocathode device with 16 (4x4) element anode will be used at the Jet Propulsion Laboratory Optical Communication Telescope Laboratory (OCTL) ground station for the Lunar Laser Communication Demonstration (LLCD)



NASA-GSFC Single-Photon Counting Detectors



	NASA Goals	НРМТ/РМТ
Photon counting wavelength range	(Separate detectors) 0.3 - 4.0 µm	Visible, Near-IR1 (0.9-1.3), Near IR2 (1.3-1.7)
Detection efficiency:	> 10%	20%
Detector size:	> 200 µm diameter	Vis,N1: 1 mm, N2: 167 µm
1-D and 2-D arrays		Vis 10 x10, N1: quad
Dark counts:	< 100 kcps	< 100 kcps or even lower
Maximum Count Rate:	> 10 Mcps	> 200 Mcps
Electrical bandwidth:	> 500 MHz	$\sim 1 \text{ GHz}$
Linearity:	>98% fit	> 98%
Timing jitter:	< 200 ps	500 ps, less than 200 ps thin
Afterpulsing	none	~ none
Resolves photon number:	desirable	Yes
Operating temperature:	prefer TE cooler range	TEC
Space-qualifiable:	rugged, reliable, ÒverlightÓ protection	??????





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III. CANDIDATE DETECTORS

1. Photomultipliers

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ICESat1-GLAS Integration



GLAS immediately following integration with ICESat in June 2002 at Ball Aerospace In Boulder, Colorado Pictures courtesy of Ball Aerospace







Perkin Elmer SPCM



Table 2. Nominal performance characteristics of the SPCMs for ICESat/GLAS.

Photon detection efficiency @ 532 nm	65%
Dark count rate	< 300 cps
Electrical power from the spacecraft 30 V bus supply, at 35°C case temperature and 4.6 Mcps s ⁻¹ (including powered consumed by the DC-DC converter)	5 W
Output pulses	20 ns, TTL level
Dead time	45 ns
Maximum count rate	11 Mcps at correction factor of 2, 17 Mcps at saturation (clamping)
Active area diameter	180 µm
Size (not including DC-DC converter)	$10.2 \text{ cm} \times 5.7 \text{ cm} \times 4.4 \text{ cm}$
Mass (not including DC-DC converter)	0.280 kg
Operating temperature range	-5 to 45°C
Operating duty cycle (with gating)	7% (40 Hz rep. rate)
Gating response time	150 ns
Hermiticity (leak rate, detector header)	$< 5 \times 10^{-9} \text{ cc s}^{-1}$
Recovery time upon over exposure to intense and short laser pulses	< 100 µs
Vibration (random, all three axes)	10 g
Thermal cycling (not powered),	-20 to 60°C 10 cycles, per MIL-STD-1010

Detection efficiency > 6% at 1064 nm, > 8% at 1030 nm





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Space-qualified silicon avalanche-photodiode single-photon-counting modules

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(Received 27 June 2003)

Abstract. A space-spatiated silicon avalanche-photodiode (APD) based single-photon-counting-module (SPCM) was developed for the Grossience Laser Akinetic System (GLAS) on based NASA's Ice, Cloud, and Land Elevation Satellite (ICESat), Numerous improvements were reade over the communically available SPCMs in both performance and reliability. The measured optoelectronic parameters include, 61% photon detection officiency at the 532net wavelength, 15–17 mega-counts per account (Mign) maximum unare rate and less than 200 s⁻¹ dark counts before exposure to space radiation.







SPCM-AQ4C Single-Photon Counting Array



Photon-counting Detector Technology APD –Silicon –Space-qualified (ICESat/GLAS)



- 0.17 mm diameter active area
- >65% QE @ 532 nm 8% @ 1030 nm 4 % @1064 nm
- >13e6/s max. count rate
- 30 50 ns dead time
- <500/s dark counts
- 280g (electronics with header)
- 2.1 W (module only)
- 4.8 W (with power supply)





Sample In Orbit GLAS SPCM Output Data





~20x more sensitive than the analog receiver channel



Sample SPCM Outputs in Response to the Sunlit Earth over Seven Years







SPCM Dark Count Rate and Detector Radiation Damage Seven Years in Space





 The dark count rate increased at ~55 cts/s per day, or 20 kcts/s per year per device for the first five years, then ~80 kcts/s per year after the average temperature became lower. Estimated Power Spectrum

(before and after







Introduction to the Silicon Photomultiplier

Introduction to the Silicon Photomultiplier

Rev. 1.0, August 2007



SPAD Array Module for Multi-Dimensional Photon Timing Applications

C. Cammi^{a,*}, A. Gulinatti^a, I. Rech^a, F. Panzeri^a, M. Ghioni^{a,b}







HAMAMATSU

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New type of Si Photon-counting Device

The MPPC (Multi-Pixel Photon Counter) is a new type of photon-counting device made up of enaltiple APD (avalanche photodische) piezts operated in Geiger mode. The MPPC is essentially an opto-semiconductor device with secolient photon-counting capability and which also possenses great advantages such as low voltage operation and insenativity to magnetic fields.

Fourtheres temperature o Long Sides Bestyler 100 VI m Name and the second second ow his local Sample readout circuit operation WPC multiple available implaced





Photon-counting Detector Technology APD –InGaAs(P)/InP –Arrays





Packaged 32x32 InGaAs APD array on readout IC, with microlens array, on TE cooler inside package



8 x 8 InGaAs NFAD matrix



InGaAs APD

Radiation Effects



"Degradation of InP-Based Geiger-Mode Avalanche Photodiodes Due to Proton Irradiation"

D. Harris, William H. Farr, and Heidi N. Becker Jet Propulsion Laboratory Single Photon Workshop 2009

Spa	Conclusions	MASA
2	Irradiation causes changes in dark I-V, DCR, and APCR – Changes in DCR are most problematic	
•	DE unaffected by irradiation	
•	Devices not usable after a fluence in mid 10 ⁹ p/cm ² range (50 MeV p)	
•	This is very low fluence - devices are very susceptible to damage	
•	Next Steps - Can damage be reduced by device design? - How stable is the damage? Can damage be induced to recover?	



InGaAsP (1064 nm) & InGaAs (1550 nm) APD Photon-counting detector





InGaAs or InGaAsP APD active quench circuit and cold finger mount

InGaAs or InGaAsP APD low temperature test chamber







- MIT-LL avoids this issue with arrays and custom ROIC.
- Technology transfer in progress
- Rapid change in optical signal may be an issue
- Looking for alternatives ??



HgCdTe APD arrays from DRS Technologies Device description



- 8x8 array, with 64x64um pixels, 70um pitch, and 78% overall fill factor.
- Spectral response to 5 μm.
 Optimized can be >90% QE at 1550 nm.
- Reported to have high and nearly noiseless gain, up to 1400, potentially outperform Si APD at 1um and all other photodiodes in SWIR and MWIR wavelength region.
- Electrical bandwidth about 100 MHz, limited by the electron diffusion time across the device.



Figure 2: Relative spectral response of 5.1 µm catoff HgCdTe HDVIP at 80 K



Advanced Photon Counting Techniques V, edited by Mark A. Itzler, Joe C. Campbell, Proc. of SPIE Vol. 8033, 80330N · © 2011 SPIE · CCC code: 0277-786X/11/\$18 doi: 10.1117/12.886161

Proc. of SPIE Vol. 8033 80330N-1





HgCdTe APD



Invited Paper

Linear Mode Photon Counting with the Noiseless Gain HgCdTe e-APD

Jeffrey D. Beck, Richard Scritchfield, Pradip Mitra, William Sullivan III, Anthony D. Gleckler*, Robert Strittmatter* Robert J. Martin**

1. INTRODUCTION

The idea of a solid state photon counter based the noiseless gain HgCdTe electron initiated avalanche photo diode (e-APD) began after APD linear gains of well over 1000 were achieved with very low dark currents and measured excess noises factors around 1.25 as shown in

Figure 1.1 A deterministic ballistic ionization model was developed that qualitatively explained the near unity excess noise factor.² It became clear that such a device would offer several distinct advantages over the existing linear mode and Geiger mode APD in use, or being contemplated, at that time. The advantages of the linear mode HgCdTe e-APD are summarized below:

- 1) No after-pulsing associated with Geiger mode
- 2) Ability to resolve photons that are closely spaced in time
- 3) Ability to measure the number of photons in a multi-photon pulse
- Ultra high dynamic range: ability to run in photon counting mode at low flux levels, and transition seamlessly to ordinary linear mode at higher flux levels
- 5) Broad and tunable spectral range offered by HgCdTe
- 6) The ability to obtain close to ideal probability of detect and probably of false alarm functions consistent with a deterministic gain mechanism
- 7) No need for active quenching circuitry

Advanced Photon Counting Techniques V, edited by Mark A. Itzler, Joe C. Campbell, Proc. of SPIE Vol. 8033, 80330N · © 2011 SPIE · CCC code: 0277-786X/11/\$18 doi: 10.1117/12.886161

Proc. of SPIE Vol. 8033 80330N-1







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Future prospects questions



1. What are the most interesting developments that you would like to see for your topic over the next ten years?

- space-qualified time-resolved (ns) near-infrared single-photon-sensitive detector

Best present candidate is HgCdTe APD

- space-qualified visible (silicon) Geiger-mode APD array with 50 ps timing resolution

Best present candidate is Cova group silicon APD array.



Future prospects questions



- 2. What are the biggest challenges for developing relevant technology over the next ten years?
 - consistent funding
 - material engineering
- 3. What science breakthroughs could be enabled by this technology over the next ten years?
 - global earth-atmosphere trace-gas (CO2, CH4, etc.) measurements
 - global Mars atmosphere trace-gas (H2O, CH4, etc.) measurements
 - diffuse optical tomography of the human brain for perfusion etc.



SUMMARY



- NASA requires space-qualified time-resolved photon-counting detectors to enable future science and exploration missions
 - Past: ICESat/GLAS Silicon APD
 - Present: ICESat-2/ATLAS Dynode-gain photomultiplier
 - Future: ASCENDS, LIST, 3DWINDS, Lasercom ?
- To date, photomultipliers and silicon APDs are viable.
- HgCdTe APDs have promising characteristics.



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MOLA, ICESat/GLAS, Calipso, MLA, LOLA, ICESat-2, LIST SDT, ASCENDS, GRACE-FO, GRACE-II, LADEE, LLCD & LCRD